

STATE OF NORTH DAKOTA  
COUNTY OF BURLEIGH

BEFORE THE  
SECURITIES COMMISSIONER

In the Matter of Sunpoint Securities, )  
Inc. )  
)  
)  
)  
Respondent. )

**ORDER TO REVOKE THE  
BROKER/DEALER  
REGISTRATION OF SUNPOINT  
SECURITIES, INC.**

.....  
WHEREAS, the North Dakota Securities Commissioner (Commissioner) has conducted an investigation into the above-captioned matter; and,

WHEREAS, based on the investigation of the Commissioner, the Commissioner issued his "Order to Suspend the Broker/Dealer Registration of Sunpoint Securities, Inc.; Proposed Order to Revoke the Broker/Dealer Registration of Sunpoint Securities, Inc.; and Notice of Opportunity for Hearing" on December 1, 1999; and,

WHEREAS, the Respondent received the Order, via certified mail, on December 6, 1999; and,

WHEREAS, the were afforded an opportunity to request a hearing, if Respondent made its request for hearing within fifteen days of its receipt of the Order, pursuant to section 10-04-12 N.D.C.C.; and,

WHEREAS, the Respondent did not request a hearing or respond in any way to the Commissioner's Order.

NOW, THEREFORE, the North Dakota Securities Commissioner hereby Orders that the proposed Order for Revocation is Sustained and the Broker/Dealer Registration of Sunpoint Securities, Inc. is Revoked, effective immediately.

IN TESTIMONY WHEREOF, witness my hand and seal this 20<sup>th</sup> day of January, 2000.



Syver Vinje, Securities Commissioner  
Office of the Securities Commissioner  
600 East Boulevard Ave.  
State Capitol – Fifth Floor  
Bismarck, ND 58505-0510  
(701) 328-2910

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**AFFIDAVIT OF SERVICE  
BY MAIL**

I, Jacqui Ferderer, being first duly sworn, state that I am a citizen of the United States over the age of twenty-one years and not a party to or interested in the above-entitled proceeding.

On, January 20, 2000, I deposited in the Central Mailing Bureau of the United States Post Office Department in the State Capitol in Bismarck, North Dakota, a true and correct copy of the following document:

**ORDER TO REVOKE THE BROKER/DEALER  
REGISTRATION OF SUNPOINT SECURITIES, INC.**

A copy of the above document was securely enclosed in an envelope with postage duly prepaid, sent via certified mail, return receipt requested, and addressed to:

Sunpoint Securities, Inc.  
911 W. Loop 281, 3<sup>rd</sup> Floor  
Longview, TX 75604

To the best of my knowledge, information, and belief, the addresses given above is the actual address of the parties intended to be served.

Jacqui Ferderer  
Jacqui Ferderer

Subscribed and sworn to before me this 20 day of January, 2000.

Diane Lillis  
Diane Lillis, Notary Public  
Burleigh County, North Dakota  
My commission expires 4/26/2002

