

STATE OF NORTH DAKOTA
COUNTY OF BURLEIGH

BEFORE THE
SECURITIES COMMISSIONER

In the Matter of Information Processing)
Office and their Officers, Directors,)
Agents and Employees)
Respondent.)

ORDER TO AMEND CEASE
AND DESIST ORDER DATED
SEPTEMBER 4, 1996

**THE NORTH DAKOTA SECURITIES COMMISSIONER TO INFORMATION
PROCESSING OFFICE AND THEIR OFFICERS, DIRECTORS, AGENTS AND
EMPLOYEES:**

WHEREAS, The Commissioner issued a cease and desist order against Information Processing office on September 4, 1996 alleging violations of North Dakota securities laws through the offer of investment advisory services without being registered as investment advisors under North Dakota law; and

WHEREAS, Respondent admits to mailing the solicitations to 250 North Dakota residents, none of which responded to the solicitation and purchased the services being offered by Respondent; and

WHEREAS, Respondent is not in the securities and/or investment advisory business and has agreed to abstain from any future violations of North Dakota securities laws; and

WHEREAS, Respondent has waived its right to hearing and has agreed to pay \$5000 and respondent has submitted to this office a check for \$5000.

**BASED ON THE FACTS ABOVE, THE COMMISSIONER FINDS THAT THE
FOLLOWING IS IN THE PUBLIC INTEREST AND, THEREFORE ORDERS:**

That the Cease And Desist Order which was issued against respondent on September 4, 1996 be amended in that the civil penalty assessed will be lowered to \$5000. In all other respects the Cease And Desist Order will remain in effect.

IN TESTIMONY THEREOF, witness my hand and seal this 31st day of December, 1996.



Cal Hoovestol

CAL HOOVESTOL
SECURITIES COMMISSIONER
STATE CAPITOL - FIFTH FLOOR
600 E. BOULEVARD AVE.
BISMARCK, ND 58505-0510