

STATE OF NORTH DAKOTA

BEFORE THE

COUNTY OF BURLEIGH

SECURITIES COMMISSIONER

In the Matter of)	ORDER OF SUSPENSION, NOTICE OF
Mark Steven Eiken)	OPPORTUNITY FOR HEARING, AND
)	PROPOSED ORDER OF REVOCATION

THE SECURITIES COMMISSIONER OF THE STATE OF NORTH DAKOTA TO MARK STEVEN EIKEN:

I.

The REGISTRATION of salesman Mark Steven Eiken is hereby SUSPENDED.

A. Under Section 10-04-11(4), North Dakota Century Code ("N.D.C.C."), if the Commissioner has reasonable grounds to believe that a registered salesman has been guilty of any act or omission which would be sufficient grounds for revoking his registration, then the Commissioner may enter an order suspending the registration of a salesman pending a hearing or opportunity for hearing as provided in Section 10-04-12, N.D.C.C.

B. The Commissioner has reasonable grounds to believe that Mark Steven Eiken is guilty of acts or omissions as specified in Section 10-04-11, N.D.C.C., which would be sufficient grounds for revoking his registration as a salesman.

NOW, THEREFORE, IT IS ORDERED that the registration of Mark Steven Eiken as a salesman in the State of North Dakota be and hereby is suspended.

II.

Mark Steven Eiken is hereby NOTIFIED OF AN OPPORTUNITY FOR HEARING in this matter.

A. The Commissioner proposes to issue the following Order: "It is hereby ORDERED that the registration of Mark Steven Eiken as a securities salesman in the State of North Dakota be and hereby is revoked."

B. The Commissioner proposes to issue the above Order based upon the following grounds:


Mark Steven Eiken has offered for sale unregistered securities in the State of North Dakota in violation of Section 10-04-04, N.D.C.C., which is grounds for suspension and revocation of his registration as a salesman under Section 10-04-11(1)(a), N.D.C.C.

YOU ARE HEREBY NOTIFIED, pursuant to Section 10-04-12, N.D.C.C., that a hearing will be held in this matter if a request is made for such hearing within ten days after receipt of this notice, as provided in Section 10-04-16(1), N.D.C.C. If the Commissioner does not receive a request for a hearing within the prescribed time, the proposed Order, as more fully described above, may be entered in accordance with Section 10-04-11(5), N.D.C.C.

YOU ARE FURTHER NOTIFIED that the above-cited violations, if proven, are sufficient grounds for the imposition of a civil penalty, pursuant to Section 10-04-16 N.D.C.C.

IN TESTIMONY WHEREOF, witness my hand and seal this 13th day
of November, 1990.

(SEAL)



GLENN POMEROY
SECURITIES COMMISSIONER

